

Investor's Complaints Data for Stock broking
Data for month ending – January 2026

| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the Month** | | Average Resolution time^(in days) |
|---------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|-----------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES 2.0) | 1 | 0 | 0 | 1 | 0 | 0 | 7 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 1 | 0 | 0 | 1 | 0 | 0 | 7 |

Trend of monthly disposal of complaints for the financial year:

| SN | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|----|--------------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | April 2025 | 0 | 3 | 2 | 1 |
| 2 | May 2025 | 1 | 4 | 2 | 3 |
| 3 | June 2025 | 3 | 6 | 8 | 1 |
| 4 | July 2025 | 1 | 1 | 1 | 1 |
| 5 | August 2025 | 1 | 11 | 11 | 1 |
| 6 | September 2025 | 1 | 22 | 23 | 0 |
| 7 | October 2025 | 0 | 4 | 4 | 0 |
| 8 | November 2025 | 0 | 5 | 5 | 0 |
| 9 | December 2025 | 0 | 6 | 5 | 1 |
| | January 2026 | 1 | 0 | 1 | 0 |
| | Grand Total | 8 | 62 | 62 | 8 |

Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

BOB Capital Markets Ltd

A wholly owned subsidiary of Bank of Baroda

www.bobcaps.in | CIN No. U65999MH1996GOI098009

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Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------------------|-----------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 - 22 | 0 | 7 | 7 | 0 |
| 2 | 2022 - 23 | 0 | 25 | 25 | 0 |
| 3 | 2023 - 24 | 0 | 151 | 151 | 0 |
| 4 | 2024 - 25 | 0 | 83 | 83 | 0 |
| 5 | 2025 - 26 | 0 | 62 | 62 | 0 |
| Grand Total | | 0 | 328 | 328 | 0 |

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