

Investor's Complaints Data for Stock broking
Data for month ending – January 2026

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	1	0	0	1	0	0	7
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	1	0	0	1	0	0	7

Trend of monthly disposal of complaints for the financial year:

SN	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month #
1	April 2025	0	3	2	1
2	May 2025	1	4	2	3
3	June 2025	3	6	8	1
4	July 2025	1	1	1	1
5	August 2025	1	11	11	1
6	September 2025	1	22	23	0
7	October 2025	0	4	4	0
8	November 2025	0	5	5	0
9	December 2025	0	6	5	1
	January 2026	1	0	1	0
	Grand Total	8	62	62	8

Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

BOB Capital Markets Ltd

A wholly owned subsidiary of Bank of Baroda

www.bobcaps.in | CIN No. U65999MH1996GOI098009

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_ Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	7	7	0
2	2022 - 23	0	25	25	0
3	2023 - 24	0	151	151	0
4	2024 - 25	0	83	83	0
5	2025 - 26	0	62	62	0
	Grand Total	0	328	328	0

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