

Investors Complaints Data for Stock broking

Data for e	very month	ending - A	April 2024
------------	------------	------------	------------

		Carried forward	Received					Average Resolution
		from previous	during the	Total		Pending at	the end of	time^(in
	Received from	month	month	Pending	Resolved*	the Month**		days)
						Pending	Pending	
						for less	for more	
						than 3	than 3	
						months	months	
1	2	3	4	5	6	7		8
	Directly from							
1	Investors	0	10	0	10	0	0	
2	SEBI (SCORES)	0	0	0	0	0	0	
	Stock Exchanges							
3	(if relevant)	0	1	0	1	0	0	
	Other Sources							
4		0	0	0	0	0	0	
5	Grand Total	0	11	0	11	0	0	

Trend of monthly disposal of complaints

		Carried forward from previous			
SN	Month	month	Received	Resolved*	Pending**
1	April 2024	0	11	11	0
2	May 2024				
3	June 2024				
4	July 2024				
5	August 2024				
6	September 2024				
7	October 2024				
8	November 2024				
9	December 2024				
10	January 2025				
11	February 2025				
12	March 2025				
	Grand Total	0	11	11	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

BOB Capital Markets Ltd A wholly owned subsidiary of Bank of Baroda



Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2018-19	0	2	2	0
2	2019-20	0	3	3	0
3	2020-21	0	7	7	0
4	2021-22	0	7	7	0
5	2022-23	0	25	25	0
6	2023-24	0	151	153	11
7	2024-25	0			
	Grand Total	0	192	194	0

BOB Capital Markets Ltd A wholly owned subsidiary of Bank of Baroda Parinee Crescenzo 1704, B Wing, 17th Floor, Bandra Kurla Complex, G Block, Bandra (East), Mumbai – 400051. Tel : +9122 6138 9300

www.bobcaps.in | CIN No. U65999MH1996GOI098009